FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

| | TRA | NSMITTAL LETTER TO THE | UNITED STATES | ATTORNEY'S DOCKET NUMBER | | | | | | | | | |
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| | | ESIGNATED/ELECTED OFF | 016906-0528 | | | | | | | | | | |
| | C | DNCERNING A FILING UND | ER 35 U.S.C. 371 | U.S. APPLICATION NOT (1870 orm, s@3779F.1 1.5) Unlassigned 0 0 0 0 7 1.5 | | | | | | | | | |
| | | | ATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | | |
| | | 004/013830 12/0 /ENTION | 6/2004 | 01/12/2004 | | | | | | | | | |
| HEAT EXCHANGER, IN PARTICULAR FOR AN OVER CRITICAL COOLING CIRCUIT | | | | | | | | | | | | | |
| | | S) FOR DO/EO/US T, Gerrit WÖLK | | | | | | | | | | | |
| App | licant her | ewith submits to the United States Design | gnated/Elected Office (DO/EO/US) t | the following items and other information: | | | | | | | | | |
| 1: | \boxtimes | This is a FIRST submission of items co | ncerning a filing under 35 U.S.C. 37 | 71. | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| 3. • | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | | | | | |
| 5. | | | | | | | | | | | | | |
| | | is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | is not required, as the application was filed in the United States Receiving Office (RO/US) | | | | | | | | | | | |
| 6. | ⊠ | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). ☑ is attached hereto. | | | | | | | | | | | |
| | | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. | | | | | | | | | | | |
| 8. | | An English language translation of the | amendments to the claims under Po | CT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| iten | ns 11 to 2 | 0 below concern other document(s) | or information included: | | | | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is inc | | | | | | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825 | | | | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 20. | | Other items or information: | | | | | | | | | | | |
| FORM PTO-1390 (Modified) | | | | | | | | | | | | | |

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| The following fees have been submitted: | | | | | | | | | | | | |
| 21. Basic national fee \$300 | | | | | | | | 300.00 | | | | |
| 22. | Examinati | | | ······································ | <u>_</u> | | \$ | 300.00 | | | | |
| | | | | ort prepared by USPTO ar | nd all clair | ns satisfy | \$ | 200.00 | | | | |
| | ons of PCT A | Article 33(1)- | ·(4) | | | 100 | * | 200.00 | | | | |
| 23. | situations Search fo | | | | \$ | 200 | | | | | | |
| | | | | | | | | | | | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 | | | | | | | | | | | | |
| l | | | | | | | | | | | | |
| | nal Search F | Report prepa | | | | | | | | | | |
| All other | situations | | | | \$ | 500 | \$ | 400.00 | | | | |
| | | | | 22 and 23 = | | | \$ | 900.00 | | | | |
| seq | itional fee for uence listing for each add | or computer | | | | | | | | | | |
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| | e or \$130.00 laimed priorit | | | ath or declaration later tha 2(e)) | n su mor | iths from the | \$ | 0.00 | | | | |
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| Total | Claims | 26 | - 20 = | 6 | x \$ | 50.00 | \$ | 300.00 | | | | |
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| | educed by 1/2 | | uty status | s. See 37 CFR 1.27. Fees | above are | e + | \$ | 0.00 | | | | |
| | | | | | S | UBTOTAL = | \$ | 1200.00 | | | | |
| | - | | - | English translation later th | an 30 mo | nths | \$ | | | | | |
| | | ou phoney u | | | AL NATIO | NAL FEE = | \$ | 1200.00 | | | | |
| Fee for re | ecording the | enclosed as | sianment | t (37 CFR 1.21(h)). The as | signment | must be | \$ | | | | | |
| | | | | et (37 CFR 3.28, 3.31). 40 | | | * | | | | | |
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| a. 🗌 | A check in | the amou | int of | to cover th | e above | fees is enc | osed. | | <u> </u> | | | |
| b. 🗆 | Please ch | arge my D | eposit A | Account No. <u>19-0741</u> in et is enclosed. | the amo | unt of | | to cover the abo | ove fees. | | | |
| c. 🛛 | A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| d. ⊠ | , , | • | | redit card. WARNING: | • | • • | | | ic Credit card | | | |
| u. 🖂 | | | | included on this form. | | | | | | | | |
| NOTE: 1.137(a) | Where an | appropria st be filed | ate time and gr | e limit under 37 CFR anted to restore the In | 1.495 ha ternatio | as not beer onal Applica | n met, ition t | , a petition to o pending stat | revive (37 CFR us. | | | |
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